Level 3 data requirements and reporting.

(A) Except as provided by paragraph (D) of rule 3745-4-01 of the Administrative Code, all data submitted to the director for consideration as level 3 credible data shall be collected and submitted by level 3 qualified data collectors (QDCs) approved by the director pursuant to rule 3745-4-03 of the Administrative Code. Other persons trained and supervised by the QDC may assist with the collection of data. The director shall accept the data as level 3 credible data provided the requirements of this rule are met. The director shall have sole authority in determining whether data meet these requirements.

(B) Data submitted by a QDC shall meet the following minimum requirements to be accepted as level 3 credible data:

(1) Adherence to a study plan. Persons submitting data to Ohio EPA as a QDC under section 6111.53 of the Revised Code shall prepare and adhere to a project study plan.

(a) The QDC shall prepare and submit to the director for approval a project study plan using the guidelines presented in appendix A to this rule. The director may approve an alternative to the guidelines in appendix A to this rule upon a reasonable and scientifically supported demonstration by a QDC.

(b) Upon completion of the plan review, the director shall send written notification of deficiencies in the plan, if any are found, to the QDC and provide the QDC a reasonable opportunity to address such deficiencies. If the deficiencies are not addressed, the director may disapprove the study plan. A plan submitted by a level 3 QDC not disapproved within sixty days of the initial submittal or, where a notification of deficiency has been issued, within sixty days of any revised submittal, shall be considered to have been approved. The director will disapprove a site-specific plan that does not include the certification statement in paragraph (B)(4)(e) of this rule.

(c) A plan submitted by a level 3 QDC not disapproved within sixty days of the initial submittal or, where a notification of deficiency has been issued, within sixty days of any revised submittal, shall be considered to have been approved.

(d) The director shall disapprove a site-specific plan that does not include the certification statement in paragraph (B)(4)(f) of this rule.

(2) Use of appropriate test methods. In preparing the project study plan, the QDC shall be responsible for selecting the appropriate field and laboratory methods, including quality assurance and quality control steps, that fit the objectives and purpose of the project. All methods should be commensurate with the purposes of level 3 and the need for sufficient rigor and sensitivity to detect relatively small differences in water quality over time or from sampling site to sampling site. The expectation and ability to utilize level 3
credible data in certain regulatory functions of Ohio EPA give rise to the requirement that all test methods for level 3 credible data be from one of the publications listed in paragraph (C) of this rule. Test methods published in updates to the publications listed in paragraph (C) of this rule may be used if approved by the director as part of a project study plan approval. Explicit approval of the specific methods employed in the study shall occur when Ohio EPA reviews project study plans.

(3) All laboratories that perform analysis under a level 3 study plan shall be accredited, successfully participate in annual proficiency testing, and implement a quality assurance program as described in this paragraph.

(a) The QDC is responsible for ensuring that the laboratories used in generating level 3 credible data have current accreditations from one or more of the following organizations: national environmental laboratory accreditation program; American industrial hygiene association; international organization for standardization; or other governmental or private accrediting authorities that apply accreditation standards consistent with and equivalent to the organizations listed in this paragraph. An Ohio EPA laboratory audit, with all issues acceptably resolved, may be substituted for this accreditation (based on availability and to be scheduled through the credible data program).

(b) Laboratories analyzing level 3 data are required to successfully participate in annual proficiency testing (PT) studies administered by providers that are accredited by the national institute of standards and technology (NIST) national voluntary laboratory accreditation program (NVLAP). The analyte list should encompass all parameters for which the laboratory analyzes level 3 data. Laboratories may limit the scope of PT studies to those analytes that are readily available from the NIST NVLAP accredited providers.

(c) Laboratories analyzing level 3 data are required to implement a quality assurance program and document all elements of the program in a quality assurance manual (QAM) or quality assurance plan (QAP). Guidelines for these elements are presented in appendix B to this rule.

(4) Data reporting. QDCs choosing to submit data to Ohio EPA shall submit all collected data. Submission of data may be done at any time, but shall be done no later than one year after completion of the study identified in the project study plan. For ongoing sampling programs, data submission should begin no later than one year after the initial phase of study identified in the project study plan. The following shall be submitted in hard copy or electronic format:

(a) Habitat and chemistry sample data using the online credible data database, or in an acceptable format approved by the director.

(b) Fish and macroinvertebrate sample data on forms made available by the director, or
on forms developed for the project if part of the approved project study plan.

(c) Documentation demonstrating adherence to an approved project study plan.

(d) Copies of the results from all quality assurance and quality control samples collected during implementation of the approved project study plan in the same manner as the data submitted in accordance with paragraph (B)(4)(a) or (B)(4)(b) of this rule.

(e) A certification that, to the best of the QDC's knowledge and belief, the data were collected in accordance with the procedures required by the approved project study plan.

(f) A signed statement from each QDC working on the project certifying that the QDC has not been convicted of or pleaded guilty to a violation of section 2911.21 of the Revised Code (criminal trespass) or a substantially similar municipal ordinance within the previous five years.

(5) Reporting laboratory quality assurance and quality control plans. In addition to the information required by paragraph (B)(4) of this rule, the QDC, upon request of the director, shall provide quality assurance and quality control documentation for all laboratories which were used to analyze any data collected pursuant to the approved project study plan. The QDC is responsible for providing this documentation in the form of a laboratory quality assurance plan which meets the content guidelines presented in appendix B to this rule.

(6) Data approval process. The director shall review data submissions to verify that the data submissions were submitted by a QDC, that appropriate test methods and quality control and quality assurance practices were used, and that the data reporting requirements are complete. The review shall ensure that all components of the plan for the collection of data were followed. If substantial discrepancies are found, the director may decide not to approve the data, unless the QDC demonstrates to the satisfaction of the director that the discrepancy is valid and defensible for the purpose for which the data was collected. The director shall provide written notification to the person submitting the data as to whether the data have been approved, and at what level the data qualify as credible data. The director shall approve or disapprove the data no later than one year from the submittal of such data to Ohio EPA.

(C) Publications that provide acceptable level 3 test methods for the collection, analysis and interpretation of surface water quality monitoring data submitted under the credible data water quality monitoring program established pursuant to section 6111.53 of the Revised Code are presented in this paragraph. These references are available on the web at http://www.epa.ohio.gov/dsw/credibledata/references.aspx or through public libraries. The director may approve other level 3 methods as part of a project study plan approval. Any level 3 methods shall have a degree of accuracy commensurate with the purpose for which
the data will be used.

The person submitting data as a level 3 QDC shall be responsible for the selection and proper execution of the test methods as described in paragraph (B)(2) of this rule. Test methods published in updates to the publications listed in paragraphs (C)(1) to (C)(5) of this rule may be used if approved by the director. Where the published methods allow for alternative test procedures for chemical or physical parameters and the appropriate review authority has approved the alternative test method, the director may approve use of the alternative test procedure through the study plan approval. Where Ohio EPA has developed, applied and published new biological or habitat assessment methods, the director may approve the use of such methods through the study plan approval.

(1) References for water quality sampling procedures.

(a) Ohio EPA. 2015. "Surface Water Field Sampling Manual for water quality parameters and flows. Ohio Environmental Protection Agency, Division of Surface Water / Division of Environmental Services. Columbus, Ohio. 45 p."


(2) References for chemical and microbiological laboratory methods.


November 2016. Ohio EPA Division of Environmental Services. Columbus, OH. 6 p."


(3) References for stream flow measurement methods.


United States Department of Interior, Bureau of Reclamation, Water Resources Research Laboratory. Denver, Colorado.

(e) "International Organization for Standardization (ISO)." 2010. Published standards found at 17.120.20. Flow in open channels.


(4) References for stream habitat measurement methods.


(b) "Midwest Biodiversity Institute (for Ohio EPA, Division of Surface Water). 2006." Methods for assessing habitat in flowing waters using the qualitative habitat evaluation index (QHEI). 26 pp.


(5) References for fish tissue collection and contaminant testing.

(a) Ohio EPA. 2012. State of Ohio Cooperative Fish Tissue Monitoring Program Fish


(6) References for fish and macroinvertebrate community measurement methods.


(g) Thoma, Roger. 1999. "Biological Monitoring and an Index of Biotic Integrity for Lake Erie's Nearshore Waters. Chapter 16 in Assessing the Sustainability and
Biological Integrity of Water Resources Using Fish Communities. Edited by Thomas P. Simon. 672 p. CRC Press. Boca Raton, FL.


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