Ohio Environmental Protection Agency
Operator Rules & Requirements

Required Training
This training is required prior to signing any operator certification application.
Section 1

RULES GOVERNING PROFESSIONAL CERTIFIED OPERATORS
CERTIFIED OPERATOR RULES

• The following will highlight important rules applicable to certified operators and outline important updates. This is not a comprehensive list of all changes nor is it a full explanation of all rules. Please refer to Ohio Administrative Code 3745-7: Operator Certification for Public Water Systems and Wastewater Treatment Works for a complete list of all applicable rules. All certified professional operators are expected to have read and understood the rules within these chapters.

• New provisions in Chapter 3745-7 became effective on August 15, 2018 unless otherwise noted.
This chapter defines important terms relative to professional operators, training providers, and facilities.

• Important updates:
  • Contract operations company is now defined.
  • The definition of operating experience will change on August 15, 2019
  • A week is defined as a 7 day period beginning on Sunday and ending the following Saturday.
This chapter outlines the requirement of a public water system or treatment system to have a certified operator of record (ORC).

• Important updates:
  • Classified private sewerage systems must have a professional operator of record.
  • If a public water system, sewerage system, or treatment works enter into a contract with a professional operator of record, the contract must clearly define the responsibilities of both the operator and owner.
3745-7-03 PUBLIC WATER SYSTEM CLASSIFICATION AND STAFFING REQUIREMENTS

Important updates:

• The ORC (or appropriate certified professional operator) of a Class I distribution system will visit the system at least 3 days a week. ORC (or appropriate certified professional operator) of a Class II Distribution system will visit the system at least 5 days a week.

• Operational records must show anytime a backup operator is being used to meet the minimum staffing requirement.

• Any professional operator fulfilling the role of a backup operator will be held responsible for any violations which occur during the time they are acting as ORC.
Important updates:

- The ORC (or appropriate certified professional operator) of a Class I sewerage system will visit the system at least 3 days a week. ORC (or appropriate certified professional operator) of a Class II sewerage system will visit the system at least 5 days a week.

- Operational records must show anytime a backup operator is being used to meet the minimum staffing requirement.

- Any professional operator fulfilling the role of a backup operator will be held responsible for any violations which occur during the time they are acting as ORC.
3745-7-05 CLASSIFICATION OF PROFESSIONAL OPERATOR CERTIFICATION

Update

- A hierarchy table has been added for clarity regarding water and wastewater certification supersession.

<table>
<thead>
<tr>
<th>Wastewater Certificate Hierarchy Table</th>
<th>Drinking Water Certificate Hierarchy Table</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class IV wastewater treatment</td>
<td>Class IV water supply</td>
</tr>
<tr>
<td>Class III wastewater treatment</td>
<td>Class III water supply</td>
</tr>
<tr>
<td>Class II wastewater treatment</td>
<td>Class II water supply</td>
</tr>
<tr>
<td>Class I wastewater treatment</td>
<td>Class II water distribution</td>
</tr>
<tr>
<td>Class A wastewater treatment</td>
<td>Class I water supply</td>
</tr>
<tr>
<td>Limited class A wastewater treatment</td>
<td>Limited class A wastewater treatment</td>
</tr>
<tr>
<td>Class I wastewater collection</td>
<td>Class I wastewater collection</td>
</tr>
<tr>
<td>Class I wastewater collection</td>
<td>Class I wastewater collection</td>
</tr>
<tr>
<td>Limited class A wastewater treatment</td>
<td>Class I wastewater collection</td>
</tr>
</tbody>
</table>
3745-7-06 CERTIFICATION OF PROFESSIONAL OPERATORS

• All applicants to be a certified professional operator must acknowledge review of this training course.

• All applications for certification must describe operating duties in detail.

• Class IV examinations must be submitted within 2 years of approval unless the Director grants an extension.
This chapter explains the professional operator in training (OIT) status.

- A professional operator in training has four years to document their operating experience, from the date they pass their examination.
- A professional operator in training is not certified and shall not act as a professional operator of record.
- Successfully passing an approved examination for a class A, I, or II certification results in an applicant being acknowledged as a professional operator in training. Passing an ABC examination by scheduling through this link will grant OIT status. Ohio EPA does not send correspondence when you pass an ABC examination. In order to become certified, the operator must submit an application through the ebusiness center.
- If an operator passed an Ohio EPA paper and pencil examination, the OIT documentation form must be submitted. A copy can be found here.
3745-7-09 RECORDKEEPING REQUIREMENTS AND RESPONSIBILITIES OF OWNERS, CERTIFIED PROFESSIONAL OPERATORS AND CERTIFIED PROFESSIONAL OPERATORS OF RECORD

• Important updates
  • Records shall be maintained in hard bound books or computerized logs, which automatically documents the date, time and person making the entry.
  • Date and times of arrival shall be documented in military time for the professional operator of record and anyone acting as a backup.
  • It is the duty of a professional operator of record to ensure the recordkeeping requirements of this chapter are met.
  • A copy of the professional operator of record’s certificate must be displayed for public examination.
  • Minimum staffing times must be reported to the agency on an approved form. The information should be reported in the comments section after each day on the eDWR/eDMR.
OPERATOR LOG BOOKS

A log book should contain detailed information on the duties performed by the operator of record.

• Detailed operating information.
• Treatment adjustments.
• Chemical changes.
• Maintenance activities.
• Requests for repair, replacement and maintenance.
• All other information required by OAC Rules.
EXAMPLE OF GOOD AND BAD LOG BOOKS

On the left is an example of an acceptable log book. On the right is an example of an inadequate log book.
3745-7-15 EXPIRATION AND RENEWAL OF PROFESSIONAL OPERATOR CERTIFICATION

• This rule outlines the renewal procedure, explains the responsibility of a professional operator to renew their license, outlines the responsibility of the training provider and indicates the required number of hours for each license type and level.

• Important changes:
  • Requires operators to renew via the eBusiness Center website.
  • Holds training providers more responsible if the rules are not followed.
  • Provides clarification of internet, webinar, and correspondence course requirements.
  • Requires the completion of this training during renewal.
  • Requires training providers to upload attendance records within 60 days of completion.
  • Provides expiration dates for courses approved by the renamed Green Leaf training provider (formerly Approved Contact Hour Training Provider).
3745-7-17 & 3745-7-18

- **3745-7-17 Professional operator certification fees**
  - Outlines the fees associated with exams, reciprocity, and renewal.
    - Denied reciprocity applications can now have the fees transferred to an application for certification.
    - Fees are non refundable.

- **3745-7-18 Conduct during the application and examination process**
  - Discusses inappropriate behavior and consequence, if violations occur.
    - Falsification of records or employment history and/or cheating will result in revocation of licensure and restriction from future examination or licensure.
This chapter outlines the necessary actions to obtain certification after passing an approved exam.

- An application must be submitted, within four years of passing the exam, via the eBusiness Center after passing an approved exam from an approved exam provider.
- You must submit proof of passing the examination with your application.
- You must be eligible to obtain the class III certificate prior to taking the exam, even through the eBusiness Center.
- You are an operator in training after you pass approved exam.
This new rule provides requirements for contract operating companies and contracted professional operators.

- Within 30 days of the effective date of the rule, contracted professional operators and contract operation companies must apply for approval and provide a sample contract which outlines the minimum expectations and explains who is responsible for and the minimum expectations for the following:
  - Operator of record of the facility.
  - Minimum staffing hours.
  - Emergency operations.
  - Maintenance (routine and preventative).
  - Ensure operators comply with 3745 of the Administrative Code.
  - Sharing of compliance letters between owner and operator.

- After the initial 30 days, operators wishing to be contract operators must receive Director’s approval prior to becoming a contract operator.
All contract operation companies or contracted professional operators shall comply with the following:

- Ensure that certified professional operators under their employment comply with provisions of Chapters 3745., 6111., and 6109. of the Revised Code.
- If required by the facility owner or agency, respond to emergencies within one hour.
- Provide an appropriately certified operator if the listed operator of record is unavailable.
- Provide a report of the facilities that are contracted to operator by June 30th by each year.
- Maintain a copy of the contract onsite for inspection by Ohio EPA.
- Maintain a copy of the contract for a minimum of 3 years after the expiration of the contract.
- Ensure that all relevant personnel, including the professional operators and owner, are notified of written correspondence from or to the Ohio EPA.

A contract operator or contract professional operator may be held liable for violations. Failure to comply with the rules of this chapter and Chapter 6111. and 6109. of the Revised Code may result in withdrawal of approval to be a contract operator or operating company.
OPERATIONAL REQUIREMENTS

Provides guidance on treatment and distribution requirements.

- Drinking Water System Components must meet ANSI/NSF Standard 61.
- Must maintain 20 PSI at all points in the distribution system.
- All equipment must be maintained to ensure intended function.
  - If the equipment cannot function as originally intended, then it must be repaired or replaced.
- Ohio EPA must be notified of any disruption of service, e.g. line breaks, treatment failure, anything that affects normal day to day operations.
Section 2

REQUIREMENTS FOR OPERATORS OF RECORD
• eDMR reports must be submitted according to their NPDES permits.
• eDMR can be submitted by a delegated official.
• To delegate an official, you must add the facility to your account and a delegation of authority document will appear.
  • Print the delegation of authority document off, sign it in the presence of a notary, and physically mail the paperwork in.
OPERATOR OF RECORD (ORC) FORM

• You can print off a blank ORC form here.
• Notifying Ohio EPA of changes in ORC is the responsibility of the owner AND operator.
• You must submit a new ORC form within 3 days becoming the ORC or leaving the position of ORC.
• This form is the same for water and wastewater.
Section 3

EBUSINESS REQUIREMENTS FOR CERTIFIED OPERATORS
You are required to keep your contact information up to date. You can do so in the eBusiness Center. You must have the Water/Wastewater Operator service activated to do so. If you do not, please follow the instructions below:

epa.ohio.gov/Portals/28/documents/opcert/Request_Operator_Service.pdf

In the operator service, use the green EDIT button on the right hand side.
CONTACT INFORMATION

• Please ensure you have an updated email address. Ohio EPA will use emails to communicate with you.
RENEWAL GUIDELINES

• Renewals are required to be submitted through the eBusiness Center.

• Many of your contact hours will already be loaded to your eBusiness Center account. You are still required to go through the renewal, select the number of contact hours to apply to the certificate and submit the renewal application.

• If contact hours that you earned do not appear in your transcript, you will have an opportunity to add the approved courses after August 1st of your renewal year.

• If you are having issues uploading the hours yourself, please contact the operator certification unit at 1(866)411-6728 or at opcert@epa.ohio.gov.
RENEWAL GUIDELINES

• The eBusiness Center does not replace your record keeping. You are expected to maintain your own records and training providers are still expected to provide you with documentation of completing a course.

• **Instructions** for utilizing the eBusiness Center can be found at [www.epa.ohio.gov/ddagw/opcert.aspx](http://www.epa.ohio.gov/ddagw/opcert.aspx). Navigate to the operator tab and find the eBusiness Center sub-tab.
Section 4

EXAM AND CERTIFICATION APPLICATION REQUIREMENTS
Exam and Certification Applications must go through the eBusiness Center at ebiz.epa.ohio.gov.

Do NOT submit an application after passing a third party exam until you have met the operating experience requirements.

Operator In Training (OIT) form for certification after passing an Ohio EPA paper and pencil exams is still on paper.

There is no OIT status for the Class III certification. You must wait until you meet the experience requirements to sit for the examination.
OHIO EPA APPLICATION GUIDELINES

• If you have submitted an application through eBusiness before and are submitting a new application, be sure to update the application prior to submitting.

• After you submit an application for examination, certification, or reciprocity, you will be required to submit a signed physical copy via mail. You will be emailed a copy to print off and mail in. If you miss the email, you can find the document in your eBusiness Center account under the action column in your exam section.
APPLICABLE DUTIES

- Please note, the following experience is **not** accepted as operating experience and should be removed from the percentage of time that you designate to water and/or wastewater:
  - Residential water meter related duties.
  - Customer shut off / turn on that is NOT related to the maintenance of a distribution system.
  - Work conducted on storm sewers that are not part of a combined sewer.
  - Service laterals from a residential house or other private property.
  - Residential septic systems with passive treatment.
  - If you work at multiple facilities, collection systems, or public water systems, the time spent driving to a different area should be excluded. You will be asked for an estimation of your drive time or documentation of your time spent at public water systems.
EXPERIENCE DOCUMENTATION GUIDANCE

• Be sure to enter the total number of months in each position. Do not calculate the months based upon the percentage designated into water and wastewater. The Operator Certification Unit will calculate your experience upon review.

• For all part time, seasonal, or temporary positions you must document the number of hours worked. If this work is not your current employer and you have not submitted signed documentation for this time in the past, please submit signed documentation confirming the hours worked when you apply.

• When you eliminate a percentage of time for inapplicable duties, please indicate you have done so in the duties section of the application.

• Be sure to create a new employment entry for every change in employment, as shown below:

<table>
<thead>
<tr>
<th>Employer</th>
<th>Mailing Address</th>
<th>Start Date</th>
<th>End Date</th>
<th>Job Title</th>
<th>Percent DW</th>
<th>Percent WW</th>
<th>Action</th>
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<td>50 West Town Street</td>
<td>01/01/2001</td>
<td>01/01/2009</td>
<td>Environmental Specialist 2</td>
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<tr>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>Ohio EPA</td>
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<td>01/05/2009</td>
<td>05/10/2014</td>
<td>Environmental Supervisor</td>
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<tr>
<td></td>
<td>Columbus OH 43215</td>
<td></td>
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<td></td>
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<tr>
<td>Ohio EPA</td>
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<td>05/14/2014</td>
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<td>Environmental Manager</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

• Each entry must be filled out completely. Duties must be described in DETAIL.
**OVERTIME DOCUMENTATION**

- If you have overtime hours, you can claim them on your application. Please be sure to indicate the time as part time and use the number of hours for experience time.

- If you are **currently employed** by the same organization where you earned overtime hours, then no additional documentation is required.

- If this is a **past position**, you will need to acquire a signed letter from your previous employer verifying the overtime hours earned.

```plaintext
<table>
<thead>
<tr>
<th>Employer Name:</th>
<th>Ohio EPA</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mailing Address:</td>
<td>50 West Town Street</td>
</tr>
<tr>
<td>Mailing City:</td>
<td>Columbus</td>
</tr>
<tr>
<td>Mailing State:</td>
<td>OH</td>
</tr>
<tr>
<td>Mailing Zip:</td>
<td>48215</td>
</tr>
<tr>
<td>Address Country:</td>
<td>USA</td>
</tr>
<tr>
<td>Start Date:</td>
<td>1/5/2009</td>
</tr>
<tr>
<td>End Date (if still employed leave blank):</td>
<td>5/10/2014</td>
</tr>
<tr>
<td>Job Title:</td>
<td>Environmental Supervisor</td>
</tr>
<tr>
<td>Experience Time Type:</td>
<td>Part Time</td>
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<tr>
<td>Experience time (Full-time enter number of months or part-time enter number of hours):</td>
<td>483</td>
</tr>
<tr>
<td>Document the percentage of your months/hours you spend on Water related duties:</td>
<td>50</td>
</tr>
<tr>
<td>Document the percentage of your months/hours you spend on Wastewater related duties:</td>
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<tr>
<td>PWSID:</td>
<td>OH1234567</td>
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<tr>
<td>Describe your operating duties in DETAIL (2000 characters max):</td>
<td></td>
</tr>
<tr>
<td>I worked 483 overtime hours as an Environmental Supervisor from January 5, 2009 to May 10, 2014</td>
<td></td>
</tr>
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Congratulations, you have completed this training!