

October 2010



Environmental
Protection Agency

Division of Drinking and Ground Waters

Drinking Water Assistance Fund 2010 Annual Report



Ted Strickland, Governor
Lee Fisher, Lt. Governor
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I. Introduction

The State of Ohio is pleased to submit the Ohio Drinking Water State Revolving Fund (DWAF) Annual Report for program year (PY) 2010. This report addresses operation of the DWAF during the time period from June 5, 2009 through June 30, 2010. During that time, Ohio was awarded the 2009 capitalization grant funds and American Recovery and Reinvestment Act (ARRA) funds. All ARRA funds were awarded as principal forgiveness.

Ohio EPA provides this report detailing the activities undertaken to reach the goals and objectives set forth in the program management plans developed for PY 2010. This report documents the status of the program by; describing progress made toward long- and short-term program goals, the funding sources (e.g., federal grants) and uses of all funds (e.g., loans, set-asides), financial status of the DWAF, and compliance with federal Drinking Water State Revolving Fund requirements.

Ohio Drinking Water Assistance Fund Program Year 2010 Overview

Total Capitalization Received: \$43,610,000

Total ARRA Funding Received: \$58,460,000

Number of Projects Binding Commitments: 72

ARRA Portion Number of Projects Binding Commitments: 59

Number of Refinanced Projects in ARRA Portion: 3

Project Funding Binding Commitments: \$133,631,815

ARRA Portion Project Funding Binding Commitments \$58,460,000

Average Interest Rate: 0.3064 percent (excluding short-term loans)

ARRA Portion Average Interest Rate: Weighted

Total Funds Issued for Disadvantaged Community Loans: \$42,921,271

ARRA Portion of Disadvantaged Community Loans: \$31,402,520

Small Systems Funded: 53 loans for \$58,784,909

ARRA Portion Small Systems Funded: 43 awards for \$33,958,819

Total Disbursements: \$96,817,686

ARRA Portion Total Disbursements: \$46,034,669

Source: NIMS 2010 Reporting Period and OWDA

Please note that initially Ohio had 62 ARRA project commitments. One project withdrew from funding.

II. Goals and Accomplishments

Long-Term Goals

The long-term goals for this program were established to allow the best use of funds to assist public water systems in meeting their goal to provide safe drinking water to the people of Ohio, and continue operation of a revolving fund capable of providing low interest loans to these water systems on a continuing basis. Our short term goals were geared to provide the necessary structure to develop and implement the DWAF, identify the public water systems most in need of loan assistance, and provide a means for such systems to avail themselves of the loan program.



Goal # 1

Maximize below-market rate loans to eligible public water systems to fund improvements to eliminate public health threats and ensure compliance with federal and state drinking water laws and regulations.

Progress

During PY 2010, there were 72 binding loan commitments totaling \$133,631,815. Of these, 53 of the loans served a population of 10,000 or less. The small systems loans accounted for \$58,784,909, which is 45.9 percent of the funds awarded.

Goal # 2

Target technical assistance to public water systems serving 10,000 or fewer people with a technical assistance program provided by funds from the technical assistance set-aside account.

Progress

W.S.O.S. Community Action Commission, Inc, Great Lakes Rural Community Assistance Program (Ohio RCAP) continued to provide managerial and financial assistance to public water systems with populations of 10,000 or fewer. Capability assurance technical assistance focused on financial management of systems. Four types of training sessions were presented to water boards, mayors, city councils, and operators: Utility Management for Local Officials (seven sessions), Financial Management for Local Officials (five sessions) and Asset Management, Budgeting and Rate Setting for Local Officials (five sessions) and Applied Asset Management Featuring CUPSS (15 sessions). A total of 32 courses were held, with 385 attendees from 130 systems. Each one-day training session addressed issues related to: utility planning, identifying both direct and indirect operation and maintenance costs, developing budgets, cost recovery, types of financing resources, financial plan development and marketing utility products and services to customers. Copies of templates for spreadsheets of asset management are provided to each attendee on a CD, along with a hard copy of material covered in the session.

In addition to the training detailed above, a summary of other activities performed by Ohio RCAP during PY 2010 are as follows:

Planning and design applications completed = 1
Construction loan applications completed = 17
Pre-applications for the project priority list (PPL) completed = 3
Disadvantaged loan applications completed = 3
Loans in progress at the end of PY 2010 = 11
Assisted in meeting crosscutting requirements = 20
Assisted with locating and procuring funding in addition to the WSRLA = 18
Assisted with determining the most affordable option to access safe drinking water = 6
Assisted with readiness to proceed issues = 23
Assisted with capability assurance development = 22

Ohio EPA Small Systems Coordinator

The Ohio EPA Northeast District Office (NEDO) had 0.5 FTE dedicated to providing technical assistance to small systems with less than 250 in population.

During SFY 2010 (July 1 2009 to June 30, 2010), the Northeast District Office (NEDO) of the Ohio EPA Division of Drinking and Ground Waters continued to utilize a position created to assist in improving compliance with small public water systems. We focused more energy on providing technical assistance to small public water systems that were experiencing monitoring and MCL violations related to total coliform bacteria. Please see Appendix B for the complete PY 2010 Small Systems Technical Assistance Set-Aside Annual Report.

Goal # 3

Provide financial assistance for completing source water assessments and wellhead protection plans (delineation, inventory, susceptibility analysis and distribution of assessment information) with funds from the wellhead protection set-aside account to promote locally developed source water protection plans.

Progress

Ohio EPA utilized the Wellhead Protection Set-aside to fund activities during PY 2010. Ohio EPA funded 10 full-time equivalent staff to support implementation of the wellhead protection and source water assessment activities specified in Ohio's DWSRF Program Management and Intended Use Plan for PY 2010. Please see Appendix C for the complete PY 2010 Wellhead Protection Set-Aside Report.

During PY 2010, the program has focused on promoting protective strategies, conducting training workshops, providing direct technical assistance to public water system officials, developing education and outreach activities, completing source water assessment reports for new public water systems, re-delineating some of the wellfields in karst geologic settings and QA/QC of the source water assessment information geographic information system databases.

During PY 2010, 104 source water assessment reports were completed and mailed out. Of these, 40 were revisions of earlier source water assessment reports due to new wells at existing wellfields, changed pumping rates, and other types of changes that warranted a revision of the earlier report.

Staff completed multi-session source water protection planning workshops for the Village of Wilmot, the City of Logan, Adams County Regional Water, the Medina County Southern Water District, the City of Minerva, Ohio American Water Company (14 small systems), the City of Akron, the City of Alliance, the Village of Gnadenuhuten, Le-Ax Water District, the Leading Creek Conservancy District, the City of Ashtabula, the Village of Tuscarawas, and the Village of Orwell.

In addition to the workshops, staff reported one or more meetings with 26 public water system operators or local source water protection teams, where they provided information and technical guidance on developing or implementing a local source water protection plan.

Twenty-six source water protection plans developed by public water systems were received by the Agency during PY2010 and 24 of the plans were endorsed by the agency within that timeframe. As of June 30, 2009, 43 percent of all community water systems covering 64 percent of the population served are substantially implementing source water protection measures under the criteria described in Ohio EPA's program.

Finally, staff responded to over 496 technical assistance requests for site-specific maps showing locations of source water protection areas near existing or proposed waste or product management and storage facilities. They also reviewed 58 coal or industrial minerals mining applications for potential drinking water impacts.

Goal # 4

Improve the types and quantity of small and disadvantaged community assistance to reduce the financial impact of capital improvement projects on smaller systems and systems serving less affluent populations.

Progress

PY 2010 was the third program year of implementation of the disadvantaged community loan program. For the program year, 25 systems received disadvantaged community loans with the following terms:

- 24 issued at zero percent for 30 years (all ARRA and construction)
- 1 issued at 2.0 percent for 5 years (non-ARRA, design)

Total funds issued for disadvantaged community loans is \$42,921,271, of that amount \$31,402,520 is ARRA.

During PY 2010, 53 small systems were funded for a total of \$58,787,909. Of that amount, \$33,958,819 is ARRA.

Goal # 5

Promote the development of the technical, managerial and financial capability of public water systems to maintain compliance with the state and federal Safe Drinking Water Act (SWDA) requirements, and Ohio's Capacity Assurance Program.

Progress

Ohio has implemented the Capacity Assurance Program as required in the SDWA for all new systems and all recipients of WSRLA assistance. Rules to implement this program became effective October 1, 1999. Ohio EPA also developed a guidance document to assist systems in the development of an approvable Capacity Assurance Plan (CAP). This guidance became final on September 25, 2000.

Ohio EPA must ensure each public water system (PWS) that receives a WSRLA loan can demonstrate technical, managerial, and financial capacity. WSRLA funds cannot be used to provide any type of assistance to a PWS that lacks the technical, managerial, or financial capability to maintain SDWA compliance, unless the PWS owner agrees to implement feasible and appropriate changes in operation and maintenance practices and in the financial management of the system. All 72 projects received a loan award during program year 2010 completed a capacity assurance plan that was evaluated by district and central office personnel from the Division of Drinking and Ground Waters. For further information on accomplishments of the capability assurance program during this program year, please see the *SFY2010 Capability Assurance Report*, Appendix A.

Ohio EPA provides additional assistance to all potential loan recipients with populations of 10,000 or fewer in the completion of a CAP. Ohio RCAP, program provider of financial and managerial assistance through the Small Systems Technical Assistance Program (SSTAP), assisted in the development of these plans for eligible small systems.

In an effort to identify small water systems that need financial or managerial assistance, Ohio EPA developed the Ohio RCAP list which includes only community water systems serving 10,000 or less in population. Systems intended for this list are those that need financial and/or managerial technical assistance to achieve or maintain compliance. The Ohio RCAP list is also the feeder to the Project Priority List (PPL) and the Intended Project List (IPL). A system placed on the Ohio RCAP list and receives the needed technical assistance may graduate to the PPL/IPL when it is ready to proceed with a project being funded through the DWSRF. Thirty one small systems were placed on the Ohio RCAP list during PY 2010. For further information on Ohio RCAP assistance provided during this program year, please see the *Program Year 2010 Small Systems Technical Assistance Set-aside Report*, Appendix B.

There were 285 capability assurance evaluation forms were completed for community water systems 250 or greater in population during the program year. These forms will give us additional information necessary before proceeding with the revised capability assurance rules.

Changes are being proposed for the Ohio Revised Code to bolster existing capability language and add a provision for existing system capability. The Ohio Administrative Code rules will be reviewed and potentially modified once the changes are made to the Ohio Revised Code. Rule changes being considered include requiring existing systems to complete part or all of a CAP when a specific amount of financial, managerial and technical deficiencies are determined utilizing the capability assurance evaluation form.

Through the currently enacted rules, guidance document, and additional assistance provided to eligible small systems, Ohio EPA is able to ensure that each WSRLA loan recipient

demonstrates capacity assurance.

Goal # 6

Fund the construction of extensions of public water systems, or if extensions are not economically feasible, the construction of new public water systems to address pockets of contaminated private water systems.

Progress

During PY 2010, Ohio funded 11 systems for extensions to provide safe water due to wells with bacteriological contamination. The total amount for these loans is \$15,059,819 (ARRA portion \$9,442,513).

Goal #7

Encourage the consolidation and/or regionalization of small public water systems to allow them to take advantage of the economies of scale available to larger water systems.

Progress

During PY 2010, Ohio funded 9 projects which consolidated/regionalized public water systems. As a result, 11 public water systems have been eliminated. The total loan amount is \$13,283,405 (ARRA portion \$8,542,061).



Short-Term Goals

Goal # 1

Continue implementation of the DWAF as an ongoing environmental assistance program. Subsequent years will more fully develop the capabilities of the DWAF in development and implementation of source water protection, and assistance for disadvantaged system improvements.

Progress

Ohio EPA made progress in establishing the DWAF as an effective environmental assistance program in Ohio. For the WSRLA this program year, this includes obligation of substantial portions of the available capitalization grants and state match funds. Extensive work was completed in both the source water protection program and implementing the new disadvantaged community program. See Long-term goals #3 and #4 for more detailed information.

Goal # 2

Provide opportunities for meaningful public involvement in DWAF continuing implementation by public notification of the Intended Use Plan/Management Plan, Project Priority List, and hosting two public meetings per program year.

Progress

When seeking approval for each management and intended use plan, public notification is sent to 12 statewide newspapers and a list of interested parties indicating that a new plan is available

for review. Draft plans may be obtained from Central Office by phone or email request, in person at Central Office and via Ohio EPA's website. On May 7, 2009 a public meeting was held to allow interested parties to present their comments and suggestions on Ohio's Draft PY 2010 Program Management and Intended Use Plan. A public notice was published on April 23, 2009 to advertise the availability of the Draft Program Management and Intended Use Plan and announce the public meetings. A copy of the public notice was sent to all public water systems on the project priority list (PPL). The draft plan and public notice were available on Ohio EPA Division of Drinking and Ground Waters website. Information regarding the 30-day public comment period was also sent via an email Listserv.

Goal # 3

Promote the fund as an effective means to provide financial assistance to systems developing capital improvement projects to address new regulations and are listed by Ohio EPA as a "priority" system.

Progress

Ohio EPA has promoted the use of WSRLA funds to meet the above goals as follows:

- Use of the WSRLA program is promoted by Ohio EPA district office personnel. As water systems are identified that may need to replace or upgrade their equipment to meet one of the new rules, the WSRLA program is marketed to them as a means of obtaining funds to meet compliance requirements.
- Ohio EPA has placed information on the Ohio EPA website so that all water systems can be familiar with the requirements of these new rules. Links have also been created from the Ohio EPA website, to the U.S. EPA website, where additional fact sheets are available. Ohio EPA also has an email list service to broadly distribute program information and deadlines.
- The technical assistance providers used by Ohio EPA have been promoting the use of WSRLA funds as they identify water systems with compliance and infrastructure needs. They have also been distributing fact sheets, pre-applications and additional technical assistance, as needed.
- Ohio EPA gave multiple presentations to drinking water system personnel who attended workshops sponsored by the Operator Training Committee of Ohio, Ohio American Water Works Association, Ohio Rural Water Association and Water One Association. During PY 2010, Ohio EPA presented to approximately 1500 water system personnel in multiple training sessions/workshops.

Goal # 4

Develop sustainable infrastructure and planning initiatives for public water systems during the 2010 program year.

Progress

Ohio EPA added additional effective management points to the project priority list ranking criteria to encourage sustainable infrastructure activities such as utility board training, asset management planning, water conservation and source water protection.

Outputs and Outcomes for PY 2010

	Output	Quantity	Outcome
1.	Drinking water transmission/distribution to construct, rehabilitate or upgrade drinking water transmission and distribution systems across the state.	38	More people getting better drinking water from current and existing treatment systems across the state.
2.	Drinking water treatment projects to construct and/or rehabilitate drinking water treatment facilities across the state.	18	Better drinking water for thousands of people across the state and, upon completion of the projects, facilities that meet all applicable permits and SDWA requirements.
3.	Drinking water storage projects to construct and/or rehabilitate drinking water storage facilities across the State.	6	Better drinking water for thousands of people across the state and, upon completion of the projects, storage facilities that meet all applicable permits and SDWA requirements.
4.	Drinking water source projects to construct and/or rehabilitate drinking water sources across Ohio.	6	Better drinking water and source water that meet all applicable permits and SDWA requirements.
5.	Planning and design loan projects for future improvements at public water systems.	4	Planning and design for future construction projects in Ohio.

III. DWAF Loan and Set-Aside Activities

This section provides a discussion of the DWAF assistance activities during PY 2010. Included are details on the sources of funding in the program, the status of loan activities, and the status of set-aside activities.

Table 1
DWAF Financial Summary for PY 2010

Funding sources for PY 2010	
WSRLA 2009 Cap Grant (net of set asides)	21,734,690
ARRA Funds	58,460,000
Leverage Bond Proceeds	49,985,000
State Match Bond Proceeds	18,000,000
Loan Repayments	7,963,483
Interest Earnings	358,976
Total Sources PY 2010	156,502,149
Funding uses for PY 2010	
PY 10 Binding Commitments	133,631,815
Total Uses PY 2010	133,631,815
Increase / (Decrease) in Funds Available	28,457,829
Funds Available End of PY 09	60,229,425
Funds Available End of PY 10	88,687,254

Sources of Funding for the DWAF

The 72 binding commitments (see Table 9) from the DWAF program in this reporting period totaled \$133,631,815 (ARRA portion \$58,460,000). Since the program's inception, federal capitalization grants, state match funds, and leveraged bond proceeds have been the major sources of funding. The sources of DWAF funding during the program year are described below. Please see Appendix D for the DWSRF ARRA reporting project list and Appendix E for the DWSRF ARRA reporting green funding project list. Both lists were generated in the Drinking Water Project Benefits and Reporting system (PBR).

1. Capitalization Grants

Prior to PY 2010, Ohio EPA had received 12 capitalization grants from U.S. EPA. During PY 2010, ARRA funding was received totaling \$58,460,000. The total capitalization funds received through the end of PY 2010 was \$337,270,400 (see Table 2). Please refer to Table 3, "Set-

aside Balances and Expected Capitalization Grant Funding,” attached to this report, regarding the remaining grant balances in the set-aside accounts at the beginning of the program year including amounts taken from the FFY 2009 capitalization grant.

2. State Match

The State of Ohio is required to match all federal capitalization grants with a state match of a least 20 percent. Since the inception of the DWAF program, the state matching funds have been provided from a number of sources including: the Ohio Water Development Authority, 2001 Bond Anticipation Notes, 2002, 2004 and 2010 State Match Bond Proceeds, and interest earned on state match accounts.

Table 2 attached to this report provides a breakdown of the match provided to date. The table shows, at the end of the reporting period, the proportion of state match to federal capitalization grants was 28.24 percent, exceeding the requirement.

3. DWAF Leveraged Revenue Bonds

The total amount of leveraged bonds issued during PY 2010 is \$50,237,287. The cost of issuance totaled \$497,713.

4. Investment Income (interest income)

Investment income in the amount of \$964,755 was earned during the reporting period.

5. Repayments

During PY 2010, 26 loans (total binding commitment of \$37,289,319) were awarded utilizing the Repayment Fund. At the end of PY 2010, the Repayment Fund balance of \$6,827,299 was available to be used for loans as needed to meet our project demand.

Uses of Funds under the DWAF

The DWAF has provided \$795,862,825 (ARRA portion \$58,460,000) in loans to public water systems since its inception. The program has set-aside \$38,820,134 for activities which include Administration, Source Water Assessment, Small Community Technical Assistance, Wellhead Protection and Public Water System Supervision.

1. Loan Assistance Status

The DWAF entered into 75 loan agreements (includes supplemental loans) totaling \$133,709,152 during PY 2010. The loans range in amounts from \$13,200 to \$14,000,000. The normal term for construction loans is 20 years. However, disadvantaged community loans were awarded during PY 2010 that included a 30-year repayment term, zero percent interest rate and principal forgiveness. Principal forgiveness ranged from \$2,000 to \$5,000,000. Four planning/design loans were awarded in the amount of \$634,690 with a five-year term. The overall rate of return for the long-term loans (i.e. excluding the short-term

planning/design/construction loans) is an interest rate of approximately 0.306 percent.

a. Binding Commitments

During the reporting period, Ohio EPA entered into binding commitments totaling \$133,631,815. Of that amount, \$58,460,000 was given in principal forgiveness in the form of ARRA funding, and \$69,584,320 was issued in loans. Of the total binding commitments \$2,456,498 was from state matching funds, \$8,410,363 was from leveraged bond proceeds, \$69,982,848 was from capitalization grant funds, and \$47,694,607 was from repayment funds (all from surplus principal).

b. Project Bypass

During PY 2010, one project was formally bypassed and three projects withdrew from the funding list.

c. Small Systems

Of the 72 binding commitments in PY 2010, 53 loans were awarded to small water systems serving a population of 10,000 or fewer. A total of \$58,784,909 (ARRA portion \$33,958,819) was awarded to small systems during PY 2010. During PY 2010, 38.44 percent of funds made available were awarded to small communities with a cumulative percentage of 18.26 percent awarded to small communities since program inception.

d. Disbursements

Since the beginning of the program through this reporting period, the total disbursements from the DWAF were \$640,948,182. Of that amount, \$46,034,669 was ARRA funds.

e. Top 10 Awarded Projects on the PY 2010 PPL

1. Village of Cumberland

Project consists of installation of a connector line and booster station to supply provided by Byesville.

Total Project Cost: \$2,018,568 @\$403,713.60 loan @0% for 30 yrs and \$1,614,854.60 principal forgiveness (disadvantaged). (Southeast District)

2. Fulton County Commissioners

Project consists of the construction of a 12" waterline, a booster station, and an elevated storage tank to provide water to approximately 2,400 residents of 4 townships and 2 villages in the county.

Total Project Cost: \$5,376,577 @\$2,256,577 loan @0% for 30 yrs and \$3,120,000 principal forgiveness (disadvantaged). (Northwest District)

3. Burr Oak Regional Water District

Project consists of construction of new water treatment plant.

Total Project Cost: \$12,771,256 @\$8,771,256 loan @0% for 30 yrs and \$5,000,000 principal forgiveness (disadvantaged). (Southeast District)

4. Buckeye Water District Salineville
Project consists of extension of water line to Salineville to connect to Buckeye Water District new treatment plant.
Total Project Cost: \$3,309,265.50 @\$662,136.70 loan @0% for 30 yrs and \$2,647,128.80 principal forgiveness (disadvantaged). (Northeast District)
5. City of Sidney Northbrooke Mobile Home Park
Project consists of construction of a connector enabling Sidney services to be able to serve the Northbrooke Mobile Home Park.
Total Project Cost: \$567,535 @\$314,160 loan @0% for 20 yrs and \$253,375 principal forgiveness. (Southwest District)
6. Village of Brewster
Project consists of village of Brewster extending service to the Hillview Mobile Home Park.
Total Project Cost: \$107,406 @\$32,303 loan @0% for 30 yrs and \$75,103 principal forgiveness (disadvantaged). (Northeast District)
7. Henry County Regional Water & Sewer District
Project consists of design work for a regional water line connection and a water storage tank for McClure to become part of the Henry County Regional Water & Sewer District.
Total Project Cost: \$190,286 design loan @2% for 5 yrs. (Northwest District)
8. Village of Millersport
Project consists of construction of new transmission main from the village to Buckeye Lake.
Total Project Cost: \$840,447 @\$590,447 loan @0% for 20 yrs and \$250,000 principal forgiveness. (Central District)
9. Old Straitsville Water Association Rockbridge
Project consists of eleven miles of water line construction and a new booster station to provide water to the Rockbridge area.
Total Project Cost: \$1,193,647 @\$357,647 loan @0% for 30 yrs and \$836,000 principal forgiveness (disadvantaged). (Southeast District)
10. Jackson County Water Company
Project consists of purchase of Vinton County Water Company, and the construction of some water lines, replacing meters and telemetry.
Total Project Cost: \$1,566,976 @\$423,376 loan @0% for 30 yrs and \$1,143,600 principal forgiveness (disadvantaged). (Southeast District)

2. Set-Aside Activity Status

a. Administration

Ohio EPA did not use any of the allowable set-asides from the American Recovery and Reinvestment Act of 2010 capitalization grant. The set-asides provided for in the 1996 Amendments to the SDWA from other capitalization grants were used when necessary to supplement existing state programs and funds, and not as substitutes for existing funding. By doing this, Ohio EPA could use the maximum amount of funds for infrastructure improvements.

Funds from this set-aside were used to pay salaries and associated expenses of personnel administering the DWAF program. Ohio EPA currently collects administrative fees from loan recipients. Administrative fees collected are deposited into the Administrative Account which also contains the set-aside. Ohio EPA chose to waive the loan origination fee of 1.0 percent of the principal of each loan originated from the WSRLA during PY 2010. The Ohio Water Development Authority (OWDA) requires a fee of 0.35 percent of the principal of the loan amount. The OWDA fees are also deposited into the Administrative Account to be utilized by the OWDA for administrative costs related to the program. Fees deposited into the Administrative Account must be used solely to defray the costs of administering the program. These fees are due at the time of the loan award. Administrative funds that remain in the account at the conclusion of the program year remain in the account to address program administrative costs in subsequent program years.

Implementation of the DWAF has involved the budgeting of 16.0 FTEs, 12.0 with the Division of Drinking and Ground Waters, and 4.0 with the Division of Environmental and Financial Assistance. During the program year, Ohio EPA completed the following administrative activities:

- Development of program documents, procedures and set –aside work plans
- Solicitation of applications
- Development of comprehensive list of projects
- Evaluation of public water systems for technical, financial, and managerial capacity
- Project selection and development of IUPs
- Conduct public meetings for development of project priority lists and IUPs
- Preparation of capitalization grant applications
- Bonding for state match creation
- Oversight/implementation of Small Systems Technical Program implementation

b. Capacity Assurance Program

Please see the *PY2010 Capability Assurance Report* for further detailed information, Appendix A.

c. Small Systems Technical Assistance

Please see the *PY2010 Small Systems Technical Assistance Set-aside Report* for further detailed information, Appendix B.

d. Wellhead Protection Program

Please see the *PY2010 Wellhead Protection Set-aside Report* for further detailed information, Appendix C.

IV. Financial Statements

The Ohio Water Development Authority, our partner in administering the DWAF, is audited annually on a calendar year basis. The DWAF is included in that audit. The annual audit reports, including financial statements, are forwarded to U.S. EPA upon their release by the Auditor, State of Ohio. Financial Statements (Tables 6, 7, 8) for PY 2010 including a balance sheet, statement of cash flows and statement of revenues, expenses and changes in net assets are attached.

Ohio EPA agreed to administer the DWAF in accordance with conditions outlined in the Grant Agreements and the Operating Agreement (July 1998). The following conditions have been met as described in the operating agreement or the capitalization grant agreement and will not be described further:

- Agreement to accept payments
- Follow state laws and procedures
- Follow state accounting and auditing procedures
- Recipient accounting procedures using Generally Accepted Accounting Principles and auditing procedures using Government Auditing Standards
- Use of the Automated Standard Application for Payments
- Preparation of Project Priority List and Program Management and Intended Use Plan annually
- Through the Ohio Water Development Authority, establish and maintain designated DWAF accounts

V. Compliance with Operating Agreement and Grant Conditions

Other conditions, requirements or regulations which were met during PY 2010 include: matching ASAP increases at the 20 percent level, expeditious and timely expenditure of funds, providing SRF funds only for eligible activities, compliance with SDWA requirements for all projects receiving binding commitments, the MBE/WBE requirements, compliance with other federal authorities; compliance with the State Environmental Review Process (SERP); compliance with the ASAP cash draw procedures and rules established by U.S. EPA; and the performance of an annual audit and annual review.

Table 2

**Capitalization Grant Award and State Match Summary
6/30/2010**

Grant Number	FFY Appropriation	Cap Grant Award Date	Cap Grant Amount	State Match Amount (20%)	Remarks
FS985954-98	1997	9/11/98	43,073,000.00	8,614,600.00	Provided by OWDA
FS985954-99	1998	9/30/99	22,806,200.00	4,561,240.00	Provided by OWDA
FS985954-00	1999	9/21/00	23,903,100.00	4,780,620.00	2001 BAN
FS985954-00	2000	9/21/00	24,842,200.00	4,968,440.00	2001 BAN
FS985954-00-1	2001	9/19/01	24,944,900.22	4,988,980.04	2001 BAN
FS985954-02	2002	4/26/02	24,547,600.00	4,909,520.00	\$261,960 by 2001 BAN and \$4,647,560 by 2002 SM Bonds
FS985954-03	2003	9/17/03	24,400,100.00	4,880,020.00	2002 SM Bonds
FS985954-04	2004	9/30/04	25,311,500.00	5,062,300.00	\$3,259,157 by 2002 SM Bonds and \$1,803,143 by 2004 SM Bonds
FS985954-05	2005	8/30/05	25,257,900.00	5,051,580.00	2004 SM Bonds
FS985954-06	2006	9/28/06	24,670,900.00	4,934,180.00	2004 SM Bonds
FS985954-07	2007	9/25/07	24,671,000.00	4,934,200.00	2004 SM Bonds
FS985954-08	2008	9/24/08	24,421,000.00	4,884,200.00	2004 SM Bonds
FS985954-09	2009	9/30/09	24,421,000.00	4,884,200.00	2004 SM Bonds
			337,270,400.22	67,454,080.04	Total

State Match Sources

Source	Amount
OWDA	\$13,214,600.00
2001 BAN	\$15,000,000.00
2002 SM Bonds*	\$12,786,737.00
2004 SM Bonds	\$33,814,620.00
2010 SM Bonds	\$18,000,000.00
Interest Earned	2,442,301
Total	\$95,258,258.46

**28.24% Percentage of Match
to Capitalization Grants Received**

Available State Match 27,804,178.42

5.69

*2002 SM Bond Proceeds

Sources and Uses of Funds \$30,076,919.70 - Cost of Issuance (286,807.33), Debt Service Reserve Fund (1,727,415) and Deposit to Debt Service Fund for retirement of Series 2001 BAN 2001 BAN (15,275,958.93)

Source: OWDA

Table 3

Set-aside Balances and Expected Capitalization Grant Funding

June 30, 2010

FFY 2010 Appropriation Capitalization Grant (estimate)		\$43,610,000	
Set-Asides Accounts	Prior Year Balances	2010 Grant Amounts (estimate)	Totals for Each Account
Administrative	\$3,056,326	\$1,744,400	\$4,800,726
Wellhead Protection Program	\$3,396,790	\$0	\$3,396,790
Small Community Tech Asst	\$1,588,488	\$872,200	\$2,460,688

Source: OWDA

Table 4

Proportionality Report

PY 2010 WSRLA Loan Disbursements

			Actual Proportionality Percentage	WSRLA Federal Amt	State Match	Target Proportionality Percentage
as of 6/30/2009				\$312,849,400	\$62,569,880	16.67%
	Federal	State	(Total SM/Fed+SM)			
Encumbered	\$ 273,487,524.71	\$ 76,343,951.61	21.82%			
Disbursed	\$ 228,625,612.11	\$ 73,043,908.64	24.21%			
as of 9/30/2009				\$337,270,400	\$67,454,080	16.67%
Encumbered	\$ 276,081,672.94	\$ 78,293,289.21	22.09%			
Disbursed	\$ 235,791,159.82	\$ 73,128,619.14	23.67%			
as of 12/31/2009				\$337,270,400	\$67,454,080	16.67%
Encumbered	\$ 292,449,566.68	\$ 78,082,553.13	21.07%			
Disbursed	\$ 243,963,791.99	\$ 73,480,070.38	23.15%			
as of 3/31/2010				\$337,270,400	\$67,454,080	16.67%
Encumbered	\$ 291,569,905.60	\$ 78,082,553.13	21.12%			
Disbursed	\$ 249,602,620.01	\$ 73,898,986.34	22.84%			
as of 6/30/2010				\$337,270,400	\$67,454,080	16.67%
				-	-	-
Encumbered	\$ 290,795,212.29	\$ 78,583,773.74	21.27%	-	-	-
Disbursed	\$ 253,127,389.93	\$ 74,251,178.21	22.68%	-	-	-

Source: OWDA

Table 5											
15% to Small Communities Calculation											
Program Year 2010											
Start of PY	End of PY	Total Amount Available in PY	Amount Made Available in PY	15% Made Available	Amount to Small Communities	PY % of Amount Made Available to Small Communities	Cumulative Amount Made Available	Cumulative Amount to Small Communities	Cumulative % of Amount Made Available to Small Communities	Prior Year Carryover	% of Amount Available with Carryover
11/1/1998	6/30/1999	\$90,479,160	\$90,479,160	\$13,571,874	\$1,276,203	1.41%	\$90,479,160	\$1,276,203	1.41%	\$0	1.41%
7/1/1999	6/30/2000	\$122,904,728	\$122,904,728	\$18,435,709	\$6,025,698	4.90%	\$213,383,888	\$7,301,901	3.42%	\$0	4.90%
7/1/2000	6/30/2001	\$108,532,852	\$108,532,852	\$16,279,928	\$6,470,664	5.96%	\$321,916,740	\$13,772,565	4.28%	\$0	5.96%
7/1/2001	6/30/2002	\$49,799,315	\$49,799,315	\$7,469,897	\$15,861,749	31.85%	\$371,716,055	\$29,634,314	7.97%	\$8,391,852	31.85%
7/1/2002	6/30/2003	\$120,855,214	\$120,855,214	\$18,128,282	\$17,097,690	14.15%	\$492,571,269	\$46,732,004	9.49%	\$7,361,260	21.09%
7/1/2003	6/30/2004	\$89,449,175	\$89,449,175	\$13,417,376	\$29,622,629	33.12%	\$582,020,444	\$76,354,633	13.12%	\$23,566,512	41.35%
7/1/2004	6/30/2005	\$134,511,633	\$134,511,633	\$20,176,745	\$16,045,452	11.93%	\$716,532,077	\$92,400,085	12.90%	\$19,435,219	29.45%
7/1/2005	6/30/2006	\$167,734,147	\$167,734,147	\$25,160,122	\$26,693,586	15.91%	\$884,266,224	\$119,093,671	13.47%	\$20,968,683	27.50%
7/1/2006	6/30/2007	\$147,228,481	\$65,000,000	\$9,750,000	\$38,996,743	59.99%	\$949,266,224	\$158,090,414	16.65%	\$50,215,426	40.73%
7/1/2007	6/30/2008	\$137,424,578	\$120,000,000	\$18,000,000	\$23,035,916	19.20%	\$1,069,266,224	\$181,126,330	16.94%	\$55,251,342	53.30%
7/1/2008	6/4/2009	\$124,025,847	\$120,000,000	\$18,000,000	\$7,085,322	5.90%	\$1,189,266,224	\$188,211,652	15.83%	\$44,336,664	50.26%
7/1/2009	6/30/2010	\$140,000,000	\$143,624,252	\$21,543,638	55,208,931	38.44%	\$1,332,890,476	\$243,420,583	18.26%	\$78,001,957	71.10%

Source: DEFA

Please note amounts in this table are based on a fiscal year, not the program year.

Table 6	
Drinking Water Assistance Fund	
Balance Sheet	
June 30, 2010	
<u>Assets</u>	
Cash	-
Investments	173,670,159
Receivables:	
Federal and local government authorities	491,291,421
Deferred bond and note issuance expense	1,991,969
Total assets	<u>666,953,549</u>
<u>Liabilities and Net Assets</u>	
Accrued interest	1,120,592
Drinking Water Assistance Fund Bonds	
State Match Series	55,632,097
Leverage Series	291,555,768
Total liabilities	348,308,457
Net Assets	<u>318,645,092</u>
Total liabilities and net assets	<u>666,953,549</u>

Source: OWDA

Please note amounts in this table are based on a fiscal year, not the program year.

Table 7	
Drinking Water Assistance Fund	
Statement of Revenues, Expenses and Changes in Net Assets	
Year ended June 30, 2010	
Revenues:	
Project revenue	16,448,115
Investment income	946,754
Contribution from U.S. EPA	73,321,987
	<u>90,716,856</u>
Expenses:	
Interest on bonds and notes	11,853,919
Amortization of bond issuance expenses	140,385
Operating expenses and other	49,010,702
	<u>61,005,006</u>
Excess (deficiency) of revenues over expenses	
before non - operating revenue	29,711,850
Other	-
Excess (deficiency) of revenues over expenses	<u>29,711,850</u>
Net Assets at beginning of year	288,933,242
Net Assets at end of year	<u><u>318,645,092</u></u>

Source: OWDA

Please note amounts in this table are based on a fiscal year, not the program year.

Table 8	
Drinking Water Assistance Fund	
Statement of Cash Flows	
Year ended June 30, 2010	
Operating activities:	
Operating expenses	(2,688,032)
Net cash provided (used) by operating activities	(2,688,032)
Investing activities:	
Proceeds from maturity or sale of investments	245,908,877
Purchase of investments	(309,451,668)
Interest received on investments, net of purchased interest	964,755
Interest received on projects	15,539,969
Principal collected on projects	25,306,207
Payment for construction of projects	(94,116,477)
Net cash provided (used) by investing activities	(115,848,337)
Noncapital financing activities:	
Interest paid on bonds and notes, net of purchased interest	(12,907,950)
Redemption of bonds and notes	(12,950,000)
Proceeds of bonds and notes	71,526,320
Bond issuance expense	(453,988)
Contribution from U.S. EPA	73,321,987
Net cash provided (used) by noncapital financing activities	118,536,369
Net increase (decrease) in cash and cash equivalents	-
Cash and cash equivalents at beginning of period	-
Cash and cash equivalents at end of period	-
Recon to net cash provided (used) by oper activities:	
Excess (deficiency) of revenues over expenses before operating transfer	29,711,850
Adjustments:	
Investment income	(946,754)
Operating expenses	49,010,702
Interest on bonds and notes	11,853,919
Project revenue	(16,448,115)
Amortization of bond issuance cost	140,385
Contribution from U.S. EPA	(73,321,987)
Net cash provided (used) by operating activities	-

Source: OWDA

Please note amounts in this table are based on a fiscal year, not the program year.

Table 9
PY 2010 Binding Commitments and Supplemental Loans

	Applicant	Project Description	Award Date	WSRLA Loan Amount	ARRA Funds	WSRLA & ARRA Amount	Type	Rate	Term	Popl	Green	Purpose
	Buckeye Lake (PY2009/ARRA refinance)	New system	Jun-09	\$0.00	\$5,000,000	\$0	T-1/ARRA	0.00%	20	3,049	\$527,600 GC	Construct
	Crooksville (PY2009/ARRA refinance)	Line to tie-in to Burr Oak	Jun-09	\$0.00	\$602,100	\$0	T-1/ARRA	0.00%	30	2,602	\$602,100 GB	Construct
	Danville (PY2009/ARRA refinance)	New plant	Jun-09	\$0.00	\$285,869	\$0	EA/ARRA	0.00%	30	1,104		Construct
1	Berea	New process building, storage tank, improvements	Jun-09	\$3,891,445.00	\$0	\$3,891,445	Std	3.70%	20	18,970		Construct
2	Manchester Farms HA	Design of failing well	Jun-09	\$25,338.00	\$0	\$25,338	Std	0.00%	5	70		Design
3	Middleport	Design of well abandonment of 11 wells drilled	Jun-09	\$344,590.00	\$0	\$344,590	Std	0.00%	5	2,975		Design
4	Camplands	Upgrades to campsite, system controls, chemical feed	Jun-09	\$879,950.00	\$0	\$879,950	EA	2.00%	20	4,258		Construct
5	Columbus (Mound St.)	Construct 24-inch water main	Jun-09	\$3,849,185.00	\$0	\$3,849,185	Std	3.70%	20	965,250		Construct
6	Cortland	New elevated storage tank	Jun-09	\$1,282,980.00	\$0	\$1,282,980	Std	3.20%	20	6,830		Construct
7	Elida	New elevated storage tank to replace an old one	Jun-09	\$1,310,993.00	\$0	\$1,310,993	Std	3.20%	20	3,800		Construct
8	Guernsey County (North Star)	Connecting waterlines on Helena and North Star	Jun-09	\$70,151.00	\$0	\$70,151	EA	2.00%	20	9,494		Construct
9	New Holland	Design of a water tower to replace an old one	Jun-09	\$75,486.00	\$0	\$75,486	EA	2.00%	5	785		Design
10	McConnelsville	Installation of meters	Jun-09	\$87,500.00	\$87,500	\$175,000	EA/ARRA	0.00%	30	1,676	\$87,500 GC	Construct
11	Cleveland (Baldwin)	Reservoir	Jun-09	\$9,000,000.00	\$5,000,000	\$14,000,000	EA/ARRA	0.00%	20	435,000	\$3,011,500 GB	Construct
12	Byesville	Construction of clearwell rehabilitation	Jul-09	\$109,956.00	\$109,957	\$219,913	EA/ARRA	0.00%	20	2,574	\$109,957 GB	Construct
13	Findlay	Repair of 2 clearwells	Jul-09	\$52,671.00	\$34,992	\$87,663	Std/ARRA	0.00%	5	38,967		Construct
14	Old Straitsville Wtr Asc (Rock Run)	Electrical upgrade of pump station	Jul-09	\$25,508.00	\$52,500	\$78,008	T-II/ARRA	0.00%	30	3,620	\$23,436 GB	Construct
15	Pomeroy	Replacement of Water Meters	Jul-09	\$81,038.80	\$188,430	\$269,469	T-II/ARRA	0.00%	30	2,900	\$188,430 GB	Construct
16	Rockford	Construction of new WTP	Jul-09	\$1,351,759.00	\$903,279	\$2,255,038	Std/ARRA	0.00%	20	1,126	\$484,000 GC	Construct
17	Willard City Schools	Tapping into Northern OH Rural Wtr Assoc	Jul-09	\$11,638.00	\$2,000	\$13,638	EA/ARRA	0.00%	20	112		Construct
18	Belmont County	Install 9 miles of 8" waterlines Victory Rd	Aug-09	\$383,232.00	\$1,520,000	\$1,903,232	T-I/ARRA	0.00%	30	80		Construct
19	Bowling Green	Construction of microfiltration trtmt system	Aug-09	\$4,813,523.00	\$2,966,791	\$7,780,314	Std/ARRA	0.00%	20	29,635	\$100,000 GB	Construct
20	Brewster	Village extending service to Hillview MHP	Aug-09	\$32,303.00	\$75,103	\$107,406	T-II/ARRA	0.00%	30	50		Construct
21	Canton Local School District	Walker Elem Sch Replace Well & add Chlorine	Aug-09	\$117,529.00	\$27,500	\$145,029	EA/ARRA	0.00%	20	650	\$4,147 GB	Construct
22	Cardington	Replacing 4" & 6" cast iron mains with PVC	Aug-09	\$359,689.00	\$359,689	\$719,378	EA/ARRA	0.00%	20	1,849	\$359,689 GB	Construct
23	Columbus Grove	Replacement of Water Mains	Aug-09	\$215,118.00	\$143,412	\$358,530	Std/ARRA	0.00%	20	2,200	\$143,412 GB	Construct
24	Hamden	Replace 100K Gal Storage Tank, lines & valves	Aug-09	\$753,876.00	\$1,752,548	\$2,506,424	T-II/ARRA	0.00%	30	970	\$1,752,548 GB	Construct
25	Murray City	Replacing all distribution lines, valves, hydrants	Aug-09	\$525,991.00	\$665,000	\$1,190,991	T-II/ARRA	0.00%	30	525	\$665,000 GB	Construct
26	Portsmouth	Upgrade of high service pumps east end	Aug-09	\$49,756.00	\$49,582	\$99,338	EA/ARRA	0.00%	20	39,300	\$49,582 GB	Construct
27	Rayland	Replacement of distribution lines & hydrants	Aug-09	\$217,912.00	\$506,690	\$724,602	T-II/ARRA	0.00%	30	434	\$506,690 GB	Construct
28	Tri-County Rural W & S District	Lightner Ridge & Ellis Rd line extensions, valves	Aug-09	\$111,075.80	\$450,615	\$561,691	T-I/ARRA	0.00%	30	84		Construct
29	Athens	Replace Curtis St pumping station & dist system	Sep-09	\$480,000.00	\$320,000	\$800,000	Std/ARRA	0.00%	20	21,342	\$309,354 GB	Construct
30	Bellaire	Install booster station & 2 miles of water lines	Sep-09	\$329,291.00	\$644,000	\$973,291	T-II/ARRA	0.00%	30	4,892	\$644,000 GB	Construct
31	Buckeye Water District	Extension of line to Salineville to BWD new WTP	Sep-09	\$662,136.70	\$2,647,128	\$3,309,265	T-I/ARRA	0.00%	30	1,397	\$11,493 GB	Construct
32	Burr Oak Regional Water District	Construction of new WTP	Sep-09	\$8,771,256.00	\$5,000,000	\$13,771,256	T-I/ARRA	0.00%	30	5,764	\$2,462,000 GB	Construct
33	Coal Grove	Aeration unit, fire hydrants, & gate valves	Sep-09	\$90,596.00	\$361,124	\$451,720	T-I/ARRA	0.00%	30	3,996	\$215,500 GB	Construct
34	Columbus Grove	Rehab of existing 250K Gal storage tank	Sep-09	\$37,090.00	\$10,000	\$47,090	Std/ARRA	0.00%	20	2,200		Construct
35	Cumberland	Install connector & booster station to Byesville Supply	Sep-09	\$403,713.60	\$1,614,854	\$2,018,568	T-I/ARRA	0.00%	30	402	\$300,000 GB	Construct

	Applicant	Project Description	Award Date	WSRLA Loan Amount	ARRA Funds	WSRLA & ARRA Amount	Type	Rate	Term	Popl	Green	Purpose
36	Dresden	Replace water meters, valves, hydrants, dist system	Sep-09	\$312,957.00	\$730,232	\$1,043,189	T-II/ARRA	0.00%	30	1,423		Construct
37	Jackson County Water	Purch Vinton Co Wtr, Construct lines & replace meters	Sep-09	\$423,376.00	\$1,143,600	\$1,566,976	T-I/ARRA	0.00%	30	2,175	\$11,000	GB Construct
38	Mahoning County	Extending service to Petersburg area	Sep-09	\$319,707.50	\$319,709	\$639,417	EA/ARRA	0.00%	20	45,000		Construct
39	Middleport	Abandonment of 11 test wells, drilling new well	Sep-09	\$709,127.00	\$2,800,000	\$3,509,127	T-I/ARRA	0.00%	30	2,975	\$1,834,230	GB Construct
40	Millersport	New trans main to Village of Buckeye Lake	Sep-09	\$590,447.00	\$250,000	\$840,447	EA/ARRA	0.00%	20	880		Construct
41	Old Straitsville Wtr Asc (lines)	Lines & booster station to Rock Bridge area	Sep-09	\$357,647.00	\$836,000	\$1,193,647	T-I/ARRA	0.00%	30	250		Construct
42	Powhatan Point	Replacement of water meters, valves & hydrants	Sep-09	\$489,801.00	\$320,000	\$809,801	Std/ARRA	0.00%	20	1,744	\$320,000	GB Construct
43	Roseville	Chemical feeders, raw water meter, control panels	Sep-09	\$86,721.00	\$280,000	\$366,721	T-I/ARRA	0.00%	30	1,936	\$96,612	GB Construct
44	Shawnee	New booster station & replace water lines	Sep-09	\$78,688.00	\$309,598	\$388,286	T-II/ARRA	0.00%	30	605	\$309,598	GB Construct
45	Sidney	Meters & water tower improvements	Sep-09	\$4,396,202.00	\$2,930,864	\$7,327,066	Std/ARRA	0.00%	20	20,211	\$1,675,190	GB Construct
46	Sidney	Connector service to Northbrook MHP	Sep-09	\$314,160.00	\$253,375	\$567,535	Std/ARRA	0.00%	20	20,211		Construct
47	Woodfield	Replacement of Eastern Ave water line	Sep-09	\$194,278.00	\$103,113	\$297,391	EA/ARRA	0.00%	20	2,598	\$103,113	GB Construct
48	Zanesville	Replacement WTP w/10MGD GW WTP	Sep-09	\$4,967,325.00	\$4,500,000	\$9,467,325	EA/ARRA	0.00%	20	25,586	\$4,500,000	GB Construct
49	Zanesville	Replacement of water mains Wayne Ave	Sep-09	\$432,586.00	\$432,350	\$864,936	EA/ARRA	0.00%	10	25,586	\$18,340	GB Construct
50	Butler County	Construct ground level storage tank	Oct-09	\$1,573,520.00	\$1,049,013	\$2,622,533	Std/ARRA	0.00%	20	100,000		Construct
51	Enon	Replace master meter, 3 pressure tanks, plumbing	Oct-09	\$110,530.50	\$110,531	\$221,061	EA/ARRA	0.00%	20	3,000		Construct
52	Franklin County	Timberlake wellfield & WTP to serve area	Oct-09	\$2,152,137.00	\$450,000	\$2,602,137	EA/ARRA	0.00%	20	400		Construct
53	Fulton County	Line, booster station & tank for supply to 2 villages	Oct-09	\$2,256,577.00	\$3,120,000	\$5,376,577	T-III/ARRA	0.00%	30	11,350	\$276,960	GB Construct
54	Harrisburg	WTP & distribution system to serve area	Oct-09	\$808,806.00	\$500,000	\$1,308,806	EA/ARRA	0.00%	20	332	\$113,600	GC Construct
55	Mahoning Valley Sanitary District	Replace distribution valves & internal gates	Oct-09	\$3,037,854.00	\$2,300,000	\$5,337,854	EA/ARRA	0.00%	20	300,000	\$5,938	GB Construct
56	Manchester Farms HA*	Upgrade current WTP	Oct-09	\$234,437.44	\$96,645	\$331,082	Std/ARRA	0.00%	20	70		Construct
57	Milford	Rehab of lime silo & replace lime slaker @ WTP	Oct-09	\$142,325.00	\$80,000	\$222,325	EA/ARRA	0.00%	20	6,400		Construct
58	Pike Water, Inc.	Line added Mifflin Twp serv Parker Ridge/Sinking Springs	Oct-09	\$730,067.00	\$525,000	\$1,255,067	EA/ARRA	0.00%	20	575		Construct
59	Scioto Water, Inc.	Install lines along 4 Hill Rd & Gallia Blackfork Rd	Oct-09	\$191,195.66	\$252,024	\$443,220	T-II/ARRA	0.00%	30	10,001		Construct
60	SW Licking Com. W & S District	Extend service to Flying J along Rte 40	Oct-09	\$173,485.00	\$173,485	\$346,970	EA/ARRA	0.00%	20	20,000		Construct
61	Wellston	Replace 4" line on Honeysuckle Lane	Oct-09	\$84,839.00	\$197,960	\$282,799	T-II/ARRA	0.00%	30	6,078	\$120,000	GB Construct
62	Fostoria	Construction of new lime sludge press & building	Nov-09	\$1,004,034.00	\$800,000	\$1,804,034	EA/ARRA	0.00%	20	13,931	\$800,000	GB Construct
63	Jackson	Install belt press & backwash pump in WTP	Nov-09	\$1,494,023.00	\$500,000	\$1,994,023	EA/ARRA	0.00%	20	9,691		Construct
64	Muskingum Watershed Cons Dist	Clean, disinfect, sandblasting, painting water tower	Nov-09	\$188,884.00	\$100,000	\$288,884	EA/ARRA	0.00%	20	1,950		Construct
65	Tuppers Plains-Chester WD	Ph VIII lines, gate valves & pneumatic booster station	Nov-09	\$273,602.00	\$273,602	\$547,204	EA/ARRA	0.00%	20	112		Construct
66	Deshler	Replace WTP with GW membrane WTP	Dec-09	\$1,750,102.00	\$940,950	\$2,691,052	EA/ARRA	0.00%	20	1,870	\$341,000	GB Construct
67	South Solon	Replace filters, close well, chlorine pumps & telemetry	Dec-09	\$328,387.00	\$279,641	\$608,028	T-II/ARRA	0.00%	30	350		Construct
68	Woodville	Replace raw water line to WTP	Dec-09	\$149,922.01	\$121,645	\$271,567	EA/ARRA	0.00%	20	1,977	\$100,411	GB Construct
69	Canton	Rehab equipment at northeast WTP	May-10	\$9,000,000.00	\$0	\$9,000,000	Standard	2.00%	20	100,000		Construct
70	Continental	Replace 4" lines and 12 main valves	Jun-10	\$201,321.00	\$0	\$201,321	EA	0.00%	20	1,250		Construct
71	Henry Co Reg W & SD	Design line & storage tank for McClure service	Jun-10	\$189,276.00	\$0	\$189,276	T-III	2.00%	5	850		Design
72	Woodville	Construct 3 new wells	Jun-10	\$507,160.41	\$0	\$507,160	EA	0.00%	20	1,977		Construct
	*Includes additional ARRA \$				\$58,460,000	\$133,631,815						

PY 2010 Supplemental Loans											
Applicant	Project Description	Award Date	WSRLA Loan Amount	ARRA Funds	Total WSRLA & ARRA Amount	Type	Rate	Term	Popl	Green	Purpose
1	Meadowood Wtr Asc	Sep-09	\$147,365.39	\$0.00	\$13,200	prev PY supl	2.00%	15	140		Construct
2	New Holland	Nov-09	\$94,026.24	\$0.00	\$18,137	prev PY supl	2.00%	5	785		Design
3	Woodsfield	Jun-10	\$46,000.00	\$0.00	\$46,000	PY10 supl/si	2.00%	20	2,598		Construct
Please note these projects are accounted for separately and not included in any of the counts.					\$77,337						
Binding Commitments	72				\$133,631,815	Total binding commitments					
Supplemental Loan Awards	3				\$77,337	Total supplemental loans					
Total Loan Awards in PY 2010	75				\$133,709,152	Total loan award amount					
Key											
Std = Large system (>10K) with no affordability points											
EA = Non-disadvantaged system with affordability points											
Tier I = Tier I disadvantaged community											
Tier II = Tier II disadvantage community											
Tier III = Tier III disadvatnaged community											
ARRA = American Reinvestment and Recovery Act											
GC = Green categorical											
GB = Green business case											